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| APPLICATION NO.              | FILING DATE                          | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|------------------------------|--------------------------------------|----------------------|---------------------|------------------|--|
| 10/520,841                   | 09/15/2005                           | Gunther Schlingloff  | HS/15-22713/A/PCT   | 2763             |  |
| 324<br>CIBA SPECIA           | 7590 02/26/2007<br>ALTY CHEMICALS CO | EXAMINER             |                     |                  |  |
| PATENT DEPARTMENT            |                                      |                      | DELCOTTO, GREGORY R |                  |  |
| 540 WHITE P.<br>P O BOX 2009 |                                      | ART UNIT             | PAPER NUMBER        |                  |  |
| TARRYTOW                     | N, NY 10591-9005                     | 1751                 |                     |                  |  |
|                              |                                      |                      | •                   |                  |  |
| SHORTENED STATUTO            | RY PERIOD OF RESPONSE                | MAIL DATE            | DELIVERY MODE       |                  |  |
| 3 MC                         | ONTHS                                | 02/26/2007           | PAP                 | PAPER            |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

| Office Action Summary   |   | ication No.        | Applicant(s)     |        |  |  |  |
|---|---|--------------------|------------------|--------|--|--|--|
|   |   | 20,841             | SCHLINGLOFF E    | ET AL. |  |  |  |
|   |   | niner              | Art Unit         |        |  |  |  |
|   |   | ory R. Del Cotto   | 1751             |        |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |                    |                  |        |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                    |                  |        |  |  |  |
| Status  |   |                    |                  |        |  |  |  |
| 1) Responsive to communication(s) f   | Responsive to communication(s) filed on <u>08 February 2007</u> .   |                    |                  |        |  |  |  |
| 2a) This action is FINAL.   | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.  |                    |                  |        |  |  |  |
|   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                    |                  |        |  |  |  |
| closed in accordance with the prac  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.                       |                    |                  |        |  |  |  |
| Disposition of Claims   |   |                    |                  |        |  |  |  |
| 4) Claim(s) 31-34,43 and 46-74 is/are pending in the application.   |   |                    |                  |        |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  |   |                    |                  |        |  |  |  |
| 5) Claim(s) is/are allowed.   |   |                    |                  |        |  |  |  |
| 6)⊠ Claim(s) <u>31-34,43 and 46-74</u> is/ard   | e rejected.   |                    |                  |        |  |  |  |
| 7) Claim(s) is/are objected to.   |   |                    |                  |        |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.   |   |                    |                  |        |  |  |  |
| Application Papers  |   |                    |                  |        |  |  |  |
| 9)☐ The specification is objected to by   | the Examiner.   |                    |                  |        |  |  |  |
| 10) The drawing(s) filed on is/ar   | e: a) accepted o  | or b)□ objected to | by the Examiner. |        |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |                    |                  |        |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |                    |                  |        |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |                    |                  |        |  |  |  |
| Priority under 35 U.S.C. § 119  |   |                    |                  | •      |  |  |  |
| 12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)⊠ All b)□ Some * c)□ None of:  |   |                    |                  |        |  |  |  |
| 1. Certified copies of the priority documents have been received.   |   |                    |                  |        |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |   |                    |                  |        |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage   |   |                    |                  |        |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).   |   |                    |                  |        |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |   |                    |                  |        |  |  |  |
|   |   |                    |                  | •      |  |  |  |
|   |   |                    |                  |        |  |  |  |
| Attachment(s)   |   | 🗖 .                |                  |        |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date  |   |                    |                  |        |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08)  5) Notice of Informal Patent Application  |   |                    |                  |        |  |  |  |
| Paper No(s)/Mail Date 6) Uther:   |   |                    |                  |        |  |  |  |

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#### **DETAILED ACTION**

1. Claims 31-34, 43, and 46-74 are pending. Applicant's amendments and arguments filed 2/8/07 have been entered. Note that, upon further consideration, the rejection of claims 31-34, 43, and 46-74 under 35 USC 102(e) as being anticipated by WO02/088289 has been reinstated.

#### **Objections/Rejections Withdrawn**

The following objections/rejections as set forth in the Office action mailed 12/8/06 have been withdrawn:

The rejection of claims 31-34, 43, and 46-73 on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-29 of 10/531907 has been withdrawn due to the filing of a terminal disclaimer.

## **Priority**

Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section

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351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

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This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 31-34, 43, and 46-74 are rejected under 35 U.S.C. 102(e) as being anticipated by WO02/088289. Note that, '289 may also qualify as prior art under 35 USC 102(a) if the claimed subject matter is not supported back to the priority document. In other words, the publication date of WO02/088289 falls between the 371 date and the foreign priority date and is applicable under 35 USC 102(a) unless the foreign priority document provides support for the claimed invention. Note that, a statement of common ownership is not sufficient to overcome a rejection under 35 USC 103 where the reference qualifies as prior art under 35 USC 102(a).

Applicant cannot rely upon the foreign priority papers to overcome this rejection because a translation of said papers has not been made of record in accordance with 37 CFR 1.55. See MPEP § 201.15.

The applied reference has a common inventor and assignee with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e)

might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

'289 teaches the use of metal complexes having the same formula as recited by the instant claims as catalysts for oxidation reactions. See Abstract and page 2, line 1 to page 3, line 20. The metal containing catalysts can be used for bleaching spots on stains and textile materials, the cleaning of hard surfaces, cleaning and bleaching of fabrics, reactions with peroxy compounds for bleaching in the context of paper-making and waste-printed paper. See page 11, line 10 to page 12, line 30. '289 discloses the claimed invention with sufficient specificity to constitute anticipation.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregory R. Del Cotto whose telephone number is (571) 272-1312. The examiner can normally be reached on Mon. thru Fri. from 8:30 AM to 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Douglas McGinty can be reached on (571) 272-1029. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Business Center (EBC) at 866-217-9197 (toll-free).

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

Gregory R. Del Cotto Primary Examiner Art Unit 1751

GRD February 22, 2007